

Indemnity Bond

To,

The Investor Education and Protection Fund Authority
Ground Floor, Jeevan Vihar Building, 3, Sansad Marg,
New Delhi 110001

In consideration of the payment of Rs. and number of shares being the amount and shares due to me as (Dividend on equity / Preference shares / matured deposits / matured debentures / application money due for refund / interest accrued on/ Dividend credited to IEPF under Companies Act, 1956 or Companies Act, 2013/ Dividend credited to General Revenue Account under Companies Act, 1956 / others, specify) for the Financial Year from

(Name of the Company or Bank on the basis of CIN/BCIN)
out of the Investor Education and Protection Fund by the Authority, I/We

son/daughter/wife of do hereby agree and undertake to indemnify the Investor Education and Protection Fund Authority to the extent of any claim not exceeding the amount herein before mentioned which may be preferred against the Investor Education and Protection Fund Authority, and which it has to lawfully discharge.

Signature of the Claimant :

Date:

Place:

Witnesses:

1. Signature with date:

Name:

Address:

2. Signature with date:

Name:

Address:

* For ensuring timely processing of the claim, please ensure to make all the entries # Strike off whichever is not applicable

IEPF CLAIM – IEPF-5

Read carefully the below instructions for executing the IEPF claim related documents

Indemnity Bond (IEPF) :

1. Indemnity Bond should be franked or may be affixed with Special Adhesive Stamps or the text may be reproduced on Non-Judicial Stamp Paper of stamp value applicable as per State Stamp Act of the State where the claimant resides (Ref the enclosed sheet for stamp duty).
2. Indemnity Bond is required to be executed by both the witnesses and their full name, the date and place of execution should be mentioned.
3. Indemnity Bond should be signed by all the claimants as registered for the folio/demat account.
4. Date and place of execution is required to be mentioned by both, the claimant(s) as well as witnesses.
5. Date and place of execution of the claimant(s) as well as the witnesses should be the same.

Mandatory supporting documents :

- I. **Self-attested copy of Aadhaar Card/ Valid Passport as proof of address** of the claimant as well as of joint holder(s) (if any). In case of NRO/NRE self - attested copy of valid Passport/OCI/PIO Card/Driving license.
- II. **Self-attested copy PAN Card of the claimant as well as of joint holders (if any).**
- III. **Original Cancelled cheque leaf with claimant(s) name printed on it.** In case, Cheque leaf does not have name printed on it, then self - attested copy of passbook is also required to be submitted.
- IV. **Self-attested copy of Client Master List (CML) of Demat A/c of the claimant.** The names and order of names in the CML should be the same as per the names registered for the folio/demat account.
 - i. Address mentioned in the CML and any of the documents mentioned in I above should be the same.
 - ii. Bank account no. and IFSC mentioned in the CML and on the cancelled cheque leaf submitted should be the same.
- V. **Self-attested copy of Transaction statement** reflecting the debit of shares from demat account to IEPF A/c for claim w.r.t. demat account.
- VI. **Original share certificate(s)**

Special Instructions :

1. In case of minor difference in name of the claimant(s) on supporting documents, an Affidavit on non-judicial stamp paper of value Rs.100/- duly executed by the claimant(s) is required to be submitted.
2. In case of major difference in name, self-attested copy of Marriage Certificate/Government Gazette is required to be submitted alongwith self-attested copy of photo id proof of name registered in Company records.
3. The Non-Judicial Stamp Paper must be purchased in the name of the claimant. The date of execution of Affidavit / Indemnity should be within six months from the date of purchase of Non-Judicial Stamp Paper.
4. Name and full address of Notary Public must be mentioned in respect of the attestation done on any of the documents. The Notary Public should affix their seal, notarial fee stamps and mention Registration number along with Book No. and Serial No. w.r.t. attestation on Affidavit.

State wise stamp duty for IEPF Indemnity bond

| State | Rate of Stamp Duty |
|--|---|
| Andhra Pradesh | Rs.100/- |
| Arunachal Pradesh | Rs.30/- |
| Assam | Rs.50/- |
| Bihar | The same duty as Security Bond (No. 57) for the same amount subject to a maximum of Rs. 1000/- (Rupees One Thousand). |
| Chhattisgarh | Rs.250/- |
| Goa | Rs.15/- |
| Gujarat | Rs. 100 /- |
| Haryana | Rs.100/- |
| Himachal Pradesh | Rs.100/- |
| Jharkhand | Rs.200/- |
| Karnataka | Rs.200/- |
| Kerala | Rs.500/- |
| Madhya Pradesh | Rs.1000/- |
| Maharashtra | Rs. 500 /- |
| Manipur | Rs.100/- |
| Meghalaya | Rs.100/- |
| Mizoram | Rs.100/- |
| Nagaland | Rs.100/- |
| Odisha (Orissa) | Rs.100/- |
| Punjab | Rs.100/- |
| Rajasthan | Rs.200/- (0.1% post rebate, if applicable) |
| Sikkim | Rs.5/- |
| Tamil Nadu | Rs. 200 /- |
| Telangana | Rs.500/- |
| Tripura | Rs.500/- |
| Uttar Pradesh | Rs.100/- |
| Uttarakhand | Rs.100/- |
| West Bengal | Rs.100/- |
| Union Territories | Rate of Stamp Duty |
| Andaman and Nicobar Islands | Rs.100/- |
| Chandigarh | Rs. 100/- |
| Dadra and Nagar Haveli | Rs.100/- |
| Daman and Diu | Rs.100/- |
| National Capital Territory of Delhi (NCT of Delhi) | Rs.100/- |
| Jammu & Kashmir (J&K) | Rs.500/- |
| Lakshadweep | Rs.100/- |
| Puducherry (Pondicherry) | Rs.100/- |